

PB02 – Bank General Data on AML

Box N°	Name	Description of the Data to be Reported	Format
1	Date	The reporting must be made on a monthly basis. Cutoff dates will be the last day of every month. The information must be submitted to the Superintendency of Banks of Panama by the thirtieth (30 th) or thirty-first (31 st) day of the month following the cutoff date of each period.	Date format YYYYMMDD
2	Bank Code	Bank code assigned by the SBP.	3-character text
3	Prepared by	Name of the person filling out the document.	50-character text. Do not use null values.
4	Position	Position held by the person filling out the document.	50-character text. Do not use null values.
5	Compliance Officer's Name	Name of the Compliance Officer according to the last notification submitted to the Superintendency.	50-character text. Do not use null values.
6	Compliance Officer's telephone number	Organization's telephone number at which the Compliance Officer can be contacted.	20-character text. Do not use null values.
7	Entity Type	Banks are classified as follows: 01 – Parent Company; 02 – Branch Office; and 03 – Subsidiary.	2-character text
8	Economic Group's Name	If the entity is a Panama-based parent company for a financial/economic group, please insert the name of the financial/economic group. If not applicable, please enter NA.	100-character text. Do not leave blank spaces or use null values. If the bank does not belong to a financial/ economic group insert NA
9	Number of regulated affiliates	If the Bank is a Parent Company, insert the number of regulated affiliates and subsidiaries	Integer
10	Number of affiliates abroad	If the Bank is a Parent Company with affiliates or subsidiaries abroad, insert the number of regulated affiliates abroad.	Integer

TRANSLATION

Box N°	Name	Description of the Data to be Reported	Format
11	Number of nonregulated affiliates	If the Bank is a Parent Company with nonregulated affiliates or subsidiaries within the country or abroad, insert the number.	Integer
12	Holding Company Name	Insert the name of the Parent Company to which the bank belongs. If not applicable, insert NA.	100-character text. Do not leave blank spaces or use null values. If the bank does not belong to a financial/ economic group insert NA
13	Total Employees	Insert the total number of employees directly hired by the Bank, regardless of the type of relationship (hired, contracted, etc.)	Integer
14	Alternate Compliance Officer's Name	In the event of the temporary absence of the Principal Compliance Officer, insert the name of the person taking on the Compliance Officer's role and responsibilities.	50-character text. Do not use null values.
15	Total compliance structure	Number of persons working in the AML/CFT/WMD risk unit/area.	Integer
16	Monitoring tool name	Name of the monitoring tool the Bank uses for ML/FT/WMD risk prevention	50-character text. Do not use null values.
17	Monitoring tool version	If this tool has different versions, insert the current version the Bank uses.	10-character text. Do not use null values.
18	Monitoring tool implementation date	(YYYYMMDD) Date on which the Bank started using the monitoring tool.	Date format YYYYMMDD
19	Monitoring tool last update date	(YYYYMMDD) Date on which the monitoring tool was last updated.	Date format YYYYMMDD

TRANSLATION

Box N°	Name	Description of the Data to be Reported	Format
20	Monitoring tool supplier	If applicable, insert the name of the monitoring tool supplier.	50-character text. Do not use null values
21	Total red flags produced during the surveyed period	Number of red flags produced during the surveyed period.	Integer
22	Total red flags processed during the surveyed period	Number of red flags processed during the surveyed period. This means, the red flags closed after the relevant analysis.	Integer
23	Total red flags pending during the surveyed period	Number of red flags pending as of the cutoff date of the report. All red flags pending, i.e., including those that were produced before the reporting period.	Integer
24	Total number of employees assigned to analyze red flags	Number of employees assigned to analyze red flags.	Integer
25	Total number of Suspicious Transaction Reports issued during the period	Number of Suspicious Transaction Reports (STRs) sent to the Financial Analysis Unit (UAF) during the surveyed period.	Integer
26	Cash Transaction Reports (CTR) during the period Quantity	Number of Cash Transaction Reports (CTRs) for the period during the surveyed period.	Integer
27	Cash Transaction Reports (CTR) during the period (monetary value)	Insert the total amount of the cash transactions reported in CTRs, according to the surveyed period.	Numeric with 2 decimals