



March 13, 2015
Circular N° SBP-DPC-FID-0035-2015

General Manager

Subject: Administrative Resolution AL-01-2015
Warning Signs Manual, Suspicious Operations Report
Form and Quality Guidelines for the
Suspicious Operations Report

Dear General Manager:

The Superintendency wishes to inform you that the Financial Analysis Unit for the Prevention of Money Laundering and the Financing of Terrorism has emitted letter UAF-AL-9-2015 dated February 2, 2015, which advises that by means of Administrative Resolution AL-01-2015, the FAU has made the use of the Warning Signs Manual, the Suspicious Operations Report Form and the Quality Guidelines for the Suspicious Operations Report compulsory for all trust companies.

For further information, we have enclosed a copy of Administrative Resolution AL-01-2015, the Suspicious Operations Report Form and the Quality Guidelines for the Suspicious Operations Report that must be adopted by trust companies for their reports to the FAU.

With regard to the Warning Signs Manual, please be advised that the Superintendency of Banks is currently reviewing its contents and will be sending the notification for its adoption presently.

We would greatly appreciate your providing your staff with the necessary instructions for compliance with the provisions of this Circular.

Best regards,

Ricardo G. Fernandez D.
Superintendent

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