

THE SUPERINTENDENCY OF BANKS

REPORTS:

Pursuant to the provisions of Article 65 of the Banking Law, the Superintendency of Banks may enter into agreements or understandings with Foreign Supervisory Bodies to facilitate Consolidated Bank Supervision and ensure that the cooperation with those Bodies will be based on principles of reciprocity and confidentiality and will adhere strictly to the purposes of Banking Supervision;

According to Article 16 (l)(20) of the Banking Law, the Superintendent is authorized to establish cooperative agreements with Foreign Supervisory entities to strengthen control mechanisms, update deterrent regulations, and exchange useful information in the discharge of supervisory responsibilities;

At the same time, Rule 4-98 dated 7 October 1998 stipulates the framework for entering and executing agreements and understandings with Foreign Supervisory Bodies under the principles of reciprocity, relevance, national treatment, and confidentiality.

As of this date, and based on the aforementioned regulations, in order to facilitate consolidated supervision and taking into consideration the importance of establishing cooperative arrangements in an effort to prevent and combat money laundering, the Superintendency of Banks has entered into Memoranda of Understanding for Consolidated Supervision with the following Banking Regulatory Bodies:

Seq.	Entity	Effective Date
1	Supervisory and Financial Information Authority (ASIF) – Holy See and the Vatican City State	October 2018
2	Cayman Islands Monetary Authority	May 2004
3	Central Bank of the Bahamas	November 2004
4	Central Bank of Brazil	August 2001; June 2019 (current)
5	Central Bank of Cuba	July 2017
6	Central Bank of the Russian Federation	September 2006
7	Financial Services Commission – Montserrat	December 2001
8	British Virgin Islands Financial Services Commission	October 2004
9	National Banking and Securities Commission – Mexico	August 2004
10	National Banking and Insurance Commission – Honduras	January 2003; May 2009 (current)
11	China Banking and Insurance Regulatory Commission	November 2020
12	Financial Services Regulatory Commission – Antigua	September 2002
13	Financial Supervisory Commission – Republic of China (Taiwan)	September 2016

TRANSLATION

Seq.	Entity	Effective Date
14	United States Federal Deposit Insurance Corporation (FDIC); Federal Reserve Board of Governors; Office of the Comptroller of the Currency (OCC); Federal Reserve Bank	October 2004 (last modification: May 2007)
15	Turks and Caicos Islands Financial Services Commission	October 2001
16	Office of the Superintendent of Financial Institutions – Canada	December 2004
17	Superintendency of Banks and Insurance of Peru	September 1999; January 2004; February 2012 (current - last modification: 2018)
18	Superintendency of Banks of Ecuador	October 2000; February 2009; March 2020 (current)
19	Superintendency of Banks of Guatemala	July 2001; September 2011 (current)
20	Superintendency of Banks of the Dominican Republic	October 2001; April 2008 (current)
21	Superintendency of Banks of the Central Bank of Paraguay	June 2021
22	Superintendency of Banks and Financial Institutions of Chile	June 2013
23	Superintendency of Banks and Other Financial Institutions of Nicaragua	May 2002; December 2008 (current)
24	Superintendency of Banks and Financial Entities of the Republic of Bolivia	November 2001 (last modification: December 2007)
25	Superintendency of Financial Services of the Central Bank of Uruguay	October 2012
26	Superintendency of the Financial System of El Salvador	October 2000; November 2009 (current)
27	Financial Superintendency of Colombia	August 2001; December 2019 (current)
28	General Superintendency of Financial Entities of Costa Rica	May 2003; November 2009 (current)

Others:

- Swiss Financial Market Supervisory Authority (FINMA) – September 2019 (Confidentiality Agreement)

• Information current as of July 2021.